Syllabus of Financial Law

金融法课程教学大纲

**Course Code（课程代码）:LAW 2**

**Course Name（课程名称）: Financial Law/金融法**

**Semester（开课学期）: 5**

**Credits（学分）/Academic Hours（学时）: 3/48**

**Course Type（课程类别）: Required Course（必修课）？**

**Prerequisite(s)（先修课程）: Finance**

**Offered by（开课单位）: School of Economics and Management （经济与管理学院）**

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**1. Course Overview (including course description, objectives, outcomes, content)【课程简介（包含课程性质、目的、任务和内容）】**

Financial law is the general term for adjusting financial relations. Financial relations include financial regulatory relations and financial transactions. The so-called "financial regulatory relations" mainly refers to the relationship between the financial authorities of the government, the supervision and management of financial institutions, financial markets, financial products and financial transactions. The so-called "financial transaction relations" mainly refers to various financial transactions between financial markets such as money market, securities market, insurance market and foreign exchange market, between financial institutions, between financial institutions and the public, and between the public. relationship. Through the study of this course, students will help clarify the research object of financial law, master the essence of financial law, understand new issues and new ideas of service finance law, and enhance students' ability to engage in financial business in the future.

Upon completion of the course, the students should be able to:

* Master the basic principles of financial law.
* Understand the trajectory of the history of financial changes and the power to promote changes in financial law.
* Master the theory of institutional change, cost-benefit analysis method in the realization of the basic value of the law (to expand people's freedom) in the role.
* Have a certain ability to innovate, can use the basic principles of financial law and cost-benefit analysis methods to solve the legislative, judicial process in the practical problems.

**2. Methods of Instruction（教学方法）**

Lecturing, Q & A, class discussion, and demonstrating methods are mainly used for most of lectures. In addition, presentation, quizzes, research paper and homework assignment are also given in due time to check the students’ learning outcomes.

**3. In-class and Extracurricular Teaching and Learning Arrangement and Basic Requirements（课内外教学环节教学安排及基本要求）**

Teaching and Learning components and academic hour allocation are shown in Table 3-1

**Table 3-1 Teaching and Learning Components and Academic Hour Allocation**

| No. | Course Content | In-class Academic Teaching Hours | Extracurricular Hours |
| --- | --- | --- | --- |
| 1 | Introduction to Financial Law | 3 | 3 |
| 2 | Financial supervision system | 3 | 3 |
| 3 | The organization and business of the central bank | 4 | 4 |
| 4 | Monetary policy of the central bank | 4 | 4 |
| 5 | Legal system of commercial bank | 4 | 4 |
| 6 | Deposit and loan rules of commercial bank | 4 | 4 |
| 7 | Intermediate business of commercial bank | 3 | 3 |
| 8 | The supervision of commercial banks | 4 | 4 |
| 9 | Trust law | 3 | 3 |
| 10 | Securities law | 5 | 5 |
| 11 | Securities investment fund law | 4 | 4 |
| 12 | Insurance law | 4 | 4 |
| 13 | Financial derivatives law | 3 | 3 |
| Total |  | 48 | 48 |

**4. Extracurricular Learning Requirements（课外学习要求）**

Students are required to spend extracurricular hours before class, to acquaintance themselves with the basic concepts and terminologies, as well as after class, for homework assignments.

For extracurricular learning materials, see the references listed in Part 7. Learning through search engines and E-Learning are also encouraged.

There will be homework assignments every 1-2 weeks. You may discuss homework questions with your classmates; however, it has to be your individual work. You have to submit your solution file for each assignment immediately after you are notified. The due date for each assignment will be announced when the homework are assigned. Late submission will cause penalty on the grade. Plagiarism in assignments is considered as academic dishonesty and failing grades in a particular assignment will be given for the students involved in plagiarism according to the seriousness of offense.

The students may also be required to submit a research paper which is regarding economic theory and/or its applications, one current and real-world economic issue and so on. The purpose of this paper is to improve the ability of economic research. The topic of the paper can either be chosen by the students themselves based on discussion with the teacher or be given by the teacher directly. The final version of team paper should be submitted no later than 16th week of the semester. Late submission will cause penalty on the grade.

**5. Grading Policy（考核内容及方式）**

**Grading System:** Hundred-mark system (√); Five-grade system ( ); Two-grade system ( )

(1) Five-grade system: A: from 100 to 90; B: from 89 to 80;

C: from 79 to 70; D: from 69 to 60; F: below 60

(2) Two-grade system: P: from 100 to 60; F: below 59

**Evaluation Type:** Exam based (√); Non-Exam based ( )

The course evaluation grade is proportionately composed of in-semester evaluation and final exam as follows:

* 50% assessment in in-semester evaluation which includes class attendance (10-15%), homework assignments/presentations (15-20%), quizzes/research paper (20%).

School policy dictates that attendance is mandatory. Students should come to every class on time, stay for the entire class, and be attentive during the class except for family or health related emergencies. If you do miss a class it is your responsibility to get lecture notes and assignments from another student. If you miss more than three classes the Instructor reserves the right to drop you from the course.

* 50% assessment in final exam. The exam duration is 2 hours. The final exams will focus on the text, lectures and homework assignments. It will consist of 10 true and false questions, 15 multiple-choice questions, 4-5 short answers and 4-5 application questions.

**Academic Honesty Standards**

It is the student’s responsibility to familiarize himself or herself with and adhere to the University standards set forth in the policies on cheating and plagiarism.

**6. Continuous Improvement（持续改进）**

According to the feedbacks from students and supervisors of teaching and learning during in-semester evaluation, class discussions, final exam, etc., teaching and learning approaches of this course should be improved timely and continuously so that attainment of the corresponding graduate attributes can be guaranteed.

**7. Textbook(s) and References（教材及参考资料）**

**Suggested Textbook(s):**

[1]Yuan Dasong, the Financial Law (bilingual version), Foreign Trade University Press, 2012

[2] Zhu Daqi, Financial Law (Third Edition), Renmin University of China Press, 2015